Record-keeping Checklist

Exempted introductions

Australian Government
Department of Health
Australian Industrial Chemicals Introduction Scheme

Highest indicative risk for your introduction is very low risk

Use this checklist to make sure you have the records to prove your introduction is authorised as an **exempted introduction — highest indicative risk is very low risk**. The records we'll accept indicate the type and level of information you must keep. You must give us these records if we ask for them. Any declaration must be dated prior to your introduction.

Chemical identity

- [ ]
  - If you know the CAS number — a record of it, plus the CAS or INCI name.
  - If you know the proper name (CAS or IUPAC) but no CAS number is assigned — a record of the proper name.
  - If you don't know the proper name — a record of the name you use to refer to your chemical and a written undertaking from the supplier or manufacturer that they will give us the proper name (CAS or IUPAC) and CAS number (if assigned) if we ask for them.

- [ ] The names of any products containing your chemical that you have imported into Australia.

- [ ]
  - If it's a UVCB substance and the human health exposure band is 4 or the environment exposure band is 3 or 4 — a record of the UVCB substance description.
  - If you don't have this information — a written undertaking from the supplier or manufacturer that they will give us the UVCB substance description, if we ask for it.

- [ ] If it's a high molecular weight polymer and its human health exposure band is 4 — records to prove the:
  - number-average molecular weight
  - weight-average molecular weight
  - polydispersity index
  - percentage by mass of molecules with molecular weight that is < 1000g/mol
  - percentage by mass of molecules with molecular weight that is < 500g/mol

  We'll accept a GPC analysis report.
  - If you don't have this information — a written undertaking from the supplier or manufacturer confirming it's a high molecular weight polymer. (They must provide records to prove the polymer molecular weight details, if we ask for them.)

The introduction isn't medium to high risk or low risk

- [ ] A record of the indicative human health risk and indicative environment risk for your introduction.

If you **don't know** the proper name — a written undertaking from the supplier or manufacturer confirming your introduction doesn't meet the criteria for medium to high risk or low risk. They must provide the following records if we ask for them.

- [ ] Records to prove your chemical:
  - isn't listed in Annex III of the Rotterdam Convention; Part 1 of Annex A, B or C of the Stockholm Convention on POPs; or section 71, 72 or 73 of the General Rules.
  - isn't listed on the Inventory with conditions of introduction or use that will be contravened

  We'll accept a signed and dated declaration that these checks took place.

- [ ] **Fully fluorinated** — records to prove it **doesn't** contain a sequence of ≥ 4 and ≤ 20 fully fluorinated carbon atoms. We'll accept a signed and dated declaration that this check took place.

- [ ] **Polyhalogenated** — records to prove it's **not** a polyhalogenated organic chemical. We'll accept a signed and dated declaration that this check took place.

- [ ] **Nanoscale** — records to prove one of the following:
  - it's **not** introduced as a solid or in a dispersion (if applicable). We'll accept an SDS or product information sheet that indicates the appearance.
  - it **doesn't** meet the definition of not soluble (see Guidelines). We'll accept a study report.
  - it **doesn't** consist of particles in an unbound state or as an aggregate or agglomerate, at least 50% of which (by number size distribution) have at least one external dimension in the nanoscale. We'll accept a study report.

- [ ] **Gas** — records to prove one of the following:
  - it's **not** a gas. We'll accept an SDS or product information sheet that indicates the appearance.
  - the total volume introduced in a registration year is ≤ 100kg. We'll accept shipping documents and any associated calculations.
  - it's **not** persistent (see Guidelines). We'll accept a study report.

- [ ] **Organotin** — records to prove it's **not** an organotin chemical. We'll accept a signed and dated declaration that this check took place.

- [ ] **UV filter** — records to prove it's not a UV filter. For most introductions, we'll accept a signed and dated declaration that this check took place, but it will depend on your chemical and its end uses.

- [ ] **Biocidal active** — records to prove it doesn't have an end use as a biocidal active. For most introductions, we'll accept a signed and dated declaration that this check took place, but it will depend on your chemical and its end uses.

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Introduction, use and exposure

- ☐ Whether your chemical is imported and/or manufactured in Australia.
- ☐ The maximum total volume of your chemical that you intend to introduce in a registration year.
- ☐ Records to prove the end use for your chemical. We'll accept product labels, a list of product names and uses, or technical information sheets.
- ☐ The human health exposure band for your introduction AND the applicable human health exposure band criteria.
- ☐ If the applicable human health exposure band criteria include a concentration upper limit — a record of the maximum concentration at introduction and at each end use. We'll accept an SDS, product labels, technical information sheets or documents from your supplier.
- ☐ If the applicable human health exposure band criteria include a human health categorisation volume (HHCV) upper limit — a record of the HHCV for your chemical and records to prove the HHCV doesn't exceed that specified in the exposure band criteria. We'll accept shipping documents and any associated calculations.
- ☐ Whether your introduction involves a designated kind of release into the environment, and if so, which kind.
- ☐ The environment exposure band for your introduction AND the applicable environment exposure band criteria.
- ☐ If the applicable environment exposure band criteria include an environment categorisation volume (ECV) upper limit — a record of the ECV for your chemical and records to prove the ECV doesn't exceed that specified in the exposure band criteria. We'll accept shipping documents and any associated calculations.

Hazard characteristics

- ☐ Records to prove any known hazard classification for your chemical. We'll accept an SDS.
- ☐ A record of any human health hazard characteristics and environment hazard characteristics of your chemical that are known to you.
- ☐
  - Detailed information, including full study reports, of the kind specified in the Guidelines to demonstrate the absence of certain human health and environment hazard characteristics that would otherwise render the introduction medium to high risk or low risk.
  - If you **don't have** this information — a record of the outcomes of the information specified in the Guidelines, plus a written undertaking from the person who has the information that they'll give it to us if we ask for it.

Specified classes of introduction

- ☐ Whether your introduction is a specified class of introduction and, if so, which class.

If your introduction is a specified class of introduction, you'll also need the following records.

- ☐ For introductions that involve a **designated kind of release into the environment** — a record of the:
  - location of the release into the environment (including all receiving water bodies)
  - frequency of the release into the environment

  We'll accept information included as part of a spreadsheet on the chemical.
- ☐ For **biochemicals** — a record of:
  - the concentration of any remaining viable cell or cellular components of the organisms used to produce the biochemical
  - any known adverse effects of any remaining viable cell or cellular components of the organisms used to produce the biochemical

  We'll accept a document from your supplier.
- ☐ For **GM products** — a record of:
  - the name of the genetically modified organism from which the GM product was derived or produced
  - details of any genetically modified organism that remains in the GM product as an impurity

  We'll accept a document from your supplier.
- ☐ Where the **end use is in an article with food contact** — a record of:
  - any approval (if known) for the chemical for an end use in an article with food contact in another country by an agency or authority of that country
  - the potential for the chemical to migrate to food (see Guidelines). We'll accept study reports or other information.
- ☐ Where the **end use is in an article that's a children's toy or children's care product** — a record of:
  - whether the article can be placed in the mouth
  - if so, the potential for the chemical to be released into the mouth during end use or mouthing (see Guidelines). We'll accept quantitative information on the extent of the chemical's transfer to the mouth.