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**NATIONAL INDUSTRIAL CHEMICALS NOTIFICATION AND ASSESSMENT SCHEME
(NICNAS)**

FULL PUBLIC REPORT

**Protein hydrolyzates, silk, lauroyl, sodium salts
(INCI: Sodium lauroyl silk amino acids)**

This Assessment has been compiled in accordance with the provisions of the *Industrial Chemicals (Notification and Assessment) Act 1989* (Cwlth) (the Act) and Regulations. This legislation is an Act of the Commonwealth of Australia. The National Industrial Chemicals Notification and Assessment Scheme (NICNAS) is administered by the Department of Health and Ageing, and conducts the risk assessment for public health and occupational health and safety. The assessment of environmental risk is conducted by the Department of the Environment, Water, Heritage and the Arts.

For the purposes of subsection 78(1) of the Act, this Full Public Report may be inspected at our NICNAS office by appointment only at 334-336 Illawarra Road, Marrickville NSW 2204.

This Full Public Report is also available for viewing and downloading from the NICNAS website or available on request, free of charge, by contacting NICNAS. For requests and enquiries please contact the NICNAS Administration Coordinator at:

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**Director
NICNAS**

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FULL PUBLIC REPORT**Protein hydrolyzates, silk, lauroyl, sodium salts
(INCI: Sodium lauroyl silk amino acids)****1. APPLICANT AND NOTIFICATION DETAILS**

APPLICANT(S)

Neways International (Australia) Pty Ltd (ABN 11 065 366 458)
Level 1, 200 East Terrace
Adelaide SA 5000

NOTIFICATION CATEGORY

Limited-small volume: Chemical other than polymer (1 tonne or less per year).

EXEMPT INFORMATION (SECTION 75 OF THE ACT)

No details are claimed exempt from publication.

VARIATION OF DATA REQUIREMENTS (SECTION 24 OF THE ACT)

Variation to the schedule of data requirements is claimed as follows: Melting point, Vapour pressure, Hydrolysis as a function of pH, Dissociation constant, Particle size, Flash point, Flammability, Auto-ignition temperature.

PREVIOUS NOTIFICATION IN AUSTRALIA BY APPLICANT(S)

None

NOTIFICATION IN OTHER COUNTRIES

None

2. IDENTITY OF CHEMICAL

CHEMICAL NAME

Protein hydrolyzates, silk, lauroyl, sodium salts

MARKETING NAME(S)

Keratonic Shampoo (contains up to 3.2% notified chemical)
Promois EFLS-C (contains 20% notified chemical)

OTHER NAME(S)

Sodium lauroyl silk amino acids (INCI name)

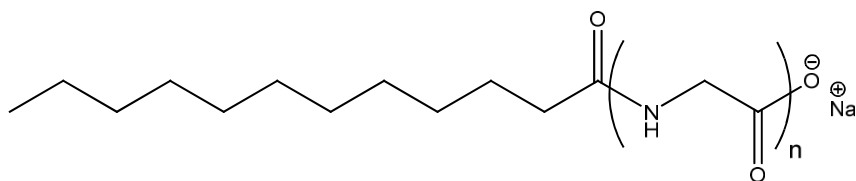
CAS NUMBER

169590-94-7

MOLECULAR FORMULA

Unspecified. The notified chemical is the sodium salt of condensation of silk amino acids and lauric acid. Some peptide segments may also be present.

STRUCTURAL FORMULA *A representative structure is depicted*



R = side chain of various amino acids e.g. —H (glycine)
 —CH₃ (alanine)
 — CH₂—OH (serine)

n = degree of amino acid polymerisation

| <i>Amino acid</i> | <i>Composition (Mol %)</i> |
|-------------------|----------------------------|
| Glycine | 42.9 |
| Alanine | 30.6 |
| Serine | 9.7 |
| Tyrosine | 4.9 |
| Valine | 2.6 |
| Phenylalanine | 2.3 |
| Aspartic acid | 2.1 |
| Glutamic acid | 1.5 |
| Isoleucine | 1.0 |
| Threonine | 0.9 |
| Leucine | 0.6 |
| Lysine | 0.5 |
| Proline | 0.3 |
| Arginine | 0.1 |

AVERAGE MOLECULAR WEIGHT
 300 Da

ANALYTICAL DATA
 Reference NMR, IR, HPLC, GC, GPC, UV spectra were provided.

3. COMPOSITION

DEGREE OF PURITY 100% (reaction mixture)
 Promois EFLS-C contains not more than 20 ppm of heavy metals and not more than 2 ppm of arsenic.

ADDITIVES

| | | | |
|----------------------|---------------------|-----------------|-----------------------|
| <i>Chemical Name</i> | Propanol, oxybis- | | |
| <i>CAS No.</i> | 25265-71-8 | <i>Weight %</i> | 3 (in Promois EFLS-C) |
| <i>Chemical Name</i> | Ethanol, 2-phenoxy- | | |
| <i>CAS No.</i> | 122-99-6 | <i>Weight %</i> | 1 (in Promois EFLS-C) |

4. PHYSICAL AND CHEMICAL PROPERTIES

APPEARANCE AT 20°C AND 101.3 kPa: Yellow-light brown, transparent to slightly opaque liquid (Promois EFLS-C containing 20% notified chemical in aqueous solution with additives).

| Property | Value | Data Source/Justification |
|---|---|---|
| Melting Point | ~350°C | Estimated using MPBPVP (v1.43) for the sodium lauroyl derivatives of glycine, alanine and serine. |
| Boiling Point | > 700°C | Estimated using MPBPVP (v1.43) for the sodium lauroyl derivatives of glycine, alanine and serine. |
| Density | 1035 kg/m ³ at 20°C | Promois EFLS-C Technical data sheet |
| Vapour Pressure | < 1 x 10 ⁻²⁴ kPa at 25°C | Estimated using MPBPVP (v1.43) for the sodium lauroyl derivatives of glycine, alanine and serine. |
| Water Solubility | Not determined | The notified chemical is claimed to be 'freely soluble' by the notifier. The notified chemical is a surfactant. |
| Hydrolysis as a Function of pH | Not determined | Expected to be very slow in the environmental pH range of 4-9. Hydrolytic stability is a functional requirement in cosmetic/personal-care formulations. |
| Partition Coefficient (n-octanol/water) | log P _{OW} = -3.27 – -2.21 at 20°C | Calculated using KOWWIN (v1.67) for the sodium lauroyl derivatives of glycine, alanine and serine. The notified chemical is a surfactant which will concentrate at the phase boundaries. |
| Adsorption/Desorption | log K _{OC} = -2.55 – -1.55 at 20°C | Calculated using KOCWIN (v2.00) for the sodium lauroyl derivatives of glycine, alanine and serine, based on the calculated log K _{OW} . The notified chemical can be expected to adsorb to organic carbon, soil and sediment because it is a surfactant. |
| Dissociation Constant | Not determined | The notified chemical is a sodium salt of the condensation reaction of lauric acid chloride and hydrolysed silk protein and is, therefore, expected to remain dissociated under ambient environmental conditions. |
| Particle Size | Not determined | Imported in finished product |
| Flash Point | Not determined | Low vapour pressure solid |
| Autoignition Temperature | Not determined | Not expected to autoignite as imported |
| Explosive Properties | Not explosive | Does not contain structural groups associated with explosive properties |

DISCUSSION OF PROPERTIES

Reactivity

Stable under normal environmental and usage conditions.

5. INTRODUCTION AND USE INFORMATION

MODE OF INTRODUCTION OF NOTIFIED CHEMICAL (100%) OVER NEXT 5 YEARS

Imported in a finished and packaged shampoo product.

MAXIMUM INTRODUCTION VOLUME OF NOTIFIED CHEMICAL (100%) OVER NEXT 5 YEARS

| Year | 1 | 2 | 3 | 4 | 5 |
|--------|-----|-----|-----|------|------|
| Tonnes | 0.2 | 0.1 | 0.1 | 0.11 | 0.12 |

PORT OF ENTRY
Adelaide or Sydney

IDENTITY OF RECIPIENTS
Neways International (Australia) Pty Ltd

TRANSPORTATION AND PACKAGING
The shampoo will be imported in PET plastic bottles and transported by road from the port to the distribution centre and subsequently to retail stores.

USE
Surfactant in shampoo at concentrations up to 3.2%.

OPERATION DESCRIPTION
The notified chemical will not be reformulated or repackaged in Australia. The finished product will be sold as hair shampoo at retail stores. End users will be members of the public who will apply the shampoo to hair and rinse off with water.

6. HUMAN HEALTH IMPLICATIONS

6.1 Exposure assessment

6.1.1 Occupational exposure

The shampoo containing the notified chemical will not be used in an occupational setting, therefore no worker exposure will occur.

6.1.2 Public exposure

There will be widespread and frequent dermal exposure to the hair shampoo containing up to 3.2% notified chemical through deliberate application of the products to the hair. The predominant areas of exposure are the scalp and hands but ocular exposure is also possible through accidental eye contact.

An estimate of exposure dosage to the notified chemical is as follows:

| <i>Product</i> | <i>Quantity (g/application)*</i> | <i>Application Frequency *</i> | <i>Retention Factor*</i> | <i>% Notified Chemical</i> | <i>Systemic Exposure Dosage (mg/kg bw/day)**</i> |
|----------------|----------------------------------|--------------------------------|--------------------------|----------------------------|--|
| Shampoo | 8.0 | 1/day | 0.01 | 3.2 | 0.043 |

*data from SCCP (2006)

** assuming 60kg body weight and 100% dermal absorption (in the absence of absorption data).

6.2 Human health effects assessment

No toxicological data was available on the neat chemical. The results from toxicological investigations conducted on the product, Promois EFLS-C (containing 20% notified chemical in aqueous solution) are summarised in the table below. Details of these studies can be found in Appendix B.

| <i>Endpoint</i> | <i>Result and Assessment Conclusion</i> |
|--|---|
| Rat, acute oral toxicity | LD50 > 2000 mg/kg bw, low toxicity |
| Rabbit, skin irritation | slightly irritating |
| Human epicutaneous patch test, skin irritation | slightly irritating at 3.3% |
| HET-CAM, eye irritation | slightly irritating at 2.5% |
| Mouse, skin sensitisation – Local lymph node assay | no evidence of sensitisation |
| Mutagenicity – bacterial reverse mutation | non mutagenic |

Absorption and Metabolism

The notified chemical is an anionic surfactant which is able to reduce the surface tension of water and remove lipids on the skin during the washing process (Mehling et al 2007). Generally, percutaneous absorption of anionic detergents are low (Black & Howes 1992), therefore the likelihood of systemic effects from skin

absorption is low. However, due to the ability of surfactants to disrupt the natural skin barrier, increased duration of exposure on the skin and higher concentrations can promote dermal absorption

Acute toxicity

There was no mortality and no sign of systemic toxicity in an oral study in rats. The notified chemical at 20% is of low toxicity via the oral route.

Irritation

Slight to well-defined erythema and slight oedema was observed in all animals when tested with 20% notified chemical. Crust formation and moderate desquamation of two treated skin sites were observed at 7 days after exposure. All skin lesions and signs of irritation resolved by the end of the study therefore the notified chemical is considered slightly irritating to the skin of rabbits at a concentration of 20%.

In an *in vivo* human patch test, a single occlusive application of 6% notified chemical (3.3% of Promois EFLS-C) over a period of 24 hours resulted in slight irritation at the treated skin site in 4 out of 20 volunteers when observed 24 hours after removal of the patches. At 48-hours after patch removal, 1 out of 20 volunteers showed slight skin irritation. Based on the cutaneous irritation scoring system used in this test, the test substance (6% notified chemical) was considered 'safe' or 'acceptable'.

There was evidence of slight eye irritation in an *in vitro* Hen's Egg Test-Chorioallantoic Membrane (HET-CAM) test using a concentration of 2.5% Promois EFLS-C (0.5% notified chemical). Hyperaemia and minimal haemorrhage was observed at each reading. The HET-CAM assay has not yet been validated as a replacement test for the *in vivo* Draize test, however validation of this assay is currently being considered by the US National Toxicology Program Interagency Center for the Evaluation of Alternative Toxicological Methods and the Interagency Coordinating Committee on the Validation of Alternative Methods (NICEATM-ICCVAM). The draft ICCVAM recommendations from this validation process were released in April 2009 and recommended that the Hen's Egg Test-Chorioallantoic Membrane (HET-CAM) not be used for regulatory hazard classification purposes based on a lack of adequate data (ICCVAM, 2009a).

Based on the chemical structure and the slight eye irritation seen at very low concentrations (0.5%) the notified chemical should be considered to be at least an eye irritant. The potential for severe eye irritation at higher concentrations cannot be ruled out.

Sensitisation

When tested in a murine Local Lymph Node Assay the test substance (20% notified chemical) did not induce lymphocyte proliferation indicative of sensitisation. Therefore this test substance is considered to be non-sensitising. Due to a diluted test substance being used the notified chemical itself was not tested at concentrations above 20%. However given the results from this test and that there are no structural alerts for sensitisation, the notified chemical is unlikely to be a significant skin sensitiser.

Mutagenicity

The product containing the notified chemical at 20% concentration was found to be non-mutagenic to bacteria in a reverse mutation assay. Therefore the notified chemical is not expected to be mutagenic.

Health hazard classification

Based on the available studies/information, the notified chemical cannot be classified as hazardous according to the *Approved Criteria for Classifying Hazardous Substances* (NOHSC, 2004).

6.3. Human health risk characterisation

6.3.1. Occupational health and safety

As shampoos containing the notified chemical are not expected to be used in occupational settings, there is expected to be no risk to workers associated with exposure to the notified chemical.

6.3.2. Public health

The notified chemical is expected to be at least irritating to the eyes and may also be irritating to the skin at neat concentrations.

The public will encounter dermal exposure and occasional ocular exposure to the notified chemical at concentrations up to 3.2% during use of hair shampoo products.

At the proposed use concentration of up to 3.2%, skin irritation is unlikely to occur; additionally, the rinse off nature of the product and corresponding relatively short skin contact times are likely to further reduce the potential for skin irritancy effects.

The potential for eye irritation effects during accidental ocular exposure cannot be ruled out at the concentrations proposed to be used (up to 3.2%). However, the rinse-off nature of the products is expected to reduce the contact time with the eyes and thus the potential for eye irritation.

In summary, the risk to the public associated with eye and skin contact with the notified chemical when used in the proposed manner is not considered to be unacceptable.

7. ENVIRONMENTAL IMPLICATIONS

7.1. Environmental Exposure & Fate Assessment

7.1.1 Environmental Exposure

RELEASE OF CHEMICAL AT SITE

Release to the environment may occur in the unlikely event of an accident during transport or an accidental spill during handling. The notified chemical will be transported to Australia by ship in packaged ready-to-use PET consumer bottles (typically < 1 L). Formulation or repackaging is not expected in Australia.

RELEASE OF CHEMICAL FROM USE

As the notified chemical is used in shampoo it is expected that effectively the entire annual volume will be released to sewer via consumer use. A small proportion (estimated to be $\leq 2\%$) may remain as residual within the end-use containers.

RELEASE OF CHEMICAL FROM DISPOSAL

It is expected that end use containers will be disposed of as domestic garbage and end up in landfill sites.

7.1.2 Environmental fate

A single ready biodegradability test report was submitted for an acceptable analogue of the notified chemical. The test report indicates that the analogue is readily biodegradable. Based on the result for the analogue, and considering the structure of the notified chemical, it is considered that the notified chemical is also readily biodegradable. For the details of the environmental fate study please refer to Appendix C.

The notified chemical is a readily biodegradable anionic surfactant and is therefore not expected to bioaccumulate.

7.1.3 Predicted Environmental Concentration (PEC)

Since most of the notified chemical will be washed into the sewer, under a worst case scenario, with no removal of the notified chemical in the sewage treatment plant, the resultant Predicted Environmental Concentration (PEC) in sewage effluent on a nationwide basis is estimated as follows:

Predicted Environmental Concentration (PEC) for the Aquatic Compartment

| | | |
|---|------|-----------|
| Total Annual Import Volume | 120 | kg/year |
| Proportion expected to be released to sewer | 100% | |
| Annual quantity of chemical released to sewer | 120 | kg/year |
| Days per year where release occurs | 365 | days/year |
| Daily chemical release: | 0.33 | kg/day |

| | | |
|------------------------------------|--------|--------------|
| Water use | 200 | L/person/day |
| Population of Australia (Millions) | 21.161 | million |
| Removal within STP | 0% | |
| Daily effluent production: | 4,232 | ML |
| Dilution Factor - River | 1.0 | |
| Dilution Factor - Ocean | 10.0 | |
| PEC - River: | 0.08 | µg/L |
| PEC - Ocean: | 0.01 | µg/L |

7.2. Environmental effects assessment

No ecotoxicity data were submitted for the notified chemical. Three ecotoxicity endpoints were submitted for an acceptable analogue, as shown below. However, test reports were not submitted to verify these endpoints, and thus these are considered indicative only.

| <i>Endpoint</i> | <i>Result</i> | <i>Assessment Conclusion (GHS)</i> |
|---------------------------------|-----------------|------------------------------------|
| Fish Toxicity (Acute - 96 h) | LC50 >1000 mg/L | Not harmful to fish |
| Daphnia Toxicity (Acute - 48 h) | EC50 45 mg/L | Harmful to aquatic invertebrates |
| Algal Toxicity (Acute - 72 h) | EC50 76 mg/L | Harmful to algae |

7.2.1 Predicted No-Effect Concentration

Based on the most sensitive endpoint for the analogue, the following PNEC has been derived using a highly conservative assessment factor of 1000 for indicative purposes.

| <i>Predicted No-Effect Concentration (PNEC) for the Aquatic Compartment</i> | | |
|---|----------|------|
| EC50 (Invertebrates) | 45.00 | mg/L |
| Assessment Factor | 1,000.00 | |
| PNEC: | 45.00 | µg/L |

7.3. Environmental risk assessment

Based on the conservative PEC and indicative PNEC, the Q values (Risk Quotient, PEC/PNEC) have been calculated as follows for river and ocean receiving environments.

| <i>Risk Assessment</i> | <i>PEC µg/L</i> | <i>PNEC µg/L</i> | <i>Q</i> |
|------------------------|-----------------|------------------|----------|
| Q - River: | 0.08 | 45 | 0.0017 |
| Q - Ocean: | 0.01 | 45 | 0.0002 |

Based on the above Q values, the notified chemical is not considered to pose a risk to aquatic ecosystems under the proposed use pattern and volume.

STP effluent re-use for irrigation occurs throughout Australia. The agricultural irrigation application rate is assumed to be 1000 L/m²/year (10 ML/ha/year). The notified chemical in this volume is assumed to infiltrate and accumulate in the top 10 cm of soil (density 1500 kg/m³). Using these assumptions, irrigation with a concentration of 0.078 µg/L may potentially result in a soil concentration of approximately 5.179 × 10⁻⁴ mg/kg. Assuming accumulation of the notified chemical in soil for 5 and 10 years under repeated irrigation, the concentration of notified chemical in the applied soil in 5 and 10 years may be approximately 2.589 × 10⁻³ mg/kg and 5.179 × 10⁻³ mg/kg, respectively. However, given the expected rapid degradation of the notified chemical, these values should be considered as theoretical maximum concentrations only.

8. CONCLUSIONS AND REGULATORY OBLIGATIONS

Hazard classification

Based on the available studies, the notified chemical cannot be classified as hazardous according to the *Approved Criteria for Classifying Hazardous Substances* (NOHSC, 2004).

and

As a comparison only, the classification of the notified chemical using the Globally Harmonised System for the Classification and Labelling of Chemicals (GHS) (United Nations 2009) is presented below. This system is not mandated in Australia and carries no legal status but is presented for information purposes.

| | <i>Hazard category</i> | <i>Hazard statement</i> |
|-------------|------------------------|-------------------------|
| Environment | Acute Category 3 | Harmful to aquatic life |

Human health risk assessment

When used in the proposed manner, the notified chemical is not considered to pose an unacceptable risk to the health of workers.

When used in the proposed manner, the notified chemical is not considered to pose an unacceptable risk to public health.

Environmental risk assessment

On the basis of the PEC/PNEC ratio and the reported use pattern, the notified chemical is not expected to pose a risk to the environment.

Recommendations

CONTROL MEASURES

Occupational Health and Safety

- A copy of the MSDS should be easily accessible to employees.
- If products and mixtures containing the notified chemical are classified as hazardous to health in accordance with the *Approved Criteria for Classifying Hazardous Substances* [NOHSC:1008(2004)] workplace practices and control procedures consistent with provisions of State and Territory hazardous substances legislation must be in operation.

Public Health

- The imported product containing the notified chemical and available to the public should carry the following safety direction on the label:
 - Avoid contact with eyes

Disposal

- The notified chemical should be disposed of to landfill.

Emergency procedures

- Spills or accidental release of the notified chemical should be handled by physical containment, collection and subsequent safe disposal.

Regulatory Obligations

Secondary Notification

This risk assessment is based on the information available at the time of notification. The Director may call for the reassessment of the chemical under secondary notification provisions based on changes in certain circumstances. Under Section 64 of the *Industrial Chemicals (Notification and Assessment) Act (1989)* the notifier, as well as any other importer or manufacturer of the notified chemical, have post-assessment regulatory obligations to notify NICNAS when any of these circumstances change. These obligations apply even when the notified chemical is listed on the Australian Inventory of Chemical Substances (AICS).

Therefore, the Director of NICNAS must be notified in writing within 28 days by the notifier, other importer or manufacturer:

- (1) Under Section 64(1) of the Act; if
 - the importation volume exceeds one tonne per annum notified chemical;
 - the concentration of the notified chemical in hair shampoo products exceeds 5%;

or

- (2) Under Section 64(2) of the Act; if
 - the function or use of the chemical has changed from a component of hair shampoo, or is likely to change significantly;
 - the amount of chemical being introduced has increased from 0.12 tonnes per annum, or is likely to increase, significantly;
 - the chemical has begun to be manufactured in Australia;
 - additional information has become available to the person as to an adverse effect of the chemical on occupational health and safety, public health, or the environment.

The Director will then decide whether a reassessment (i.e. a secondary notification and assessment) is required.

Material Safety Data Sheet

The MSDS of products containing the notified chemical provided by the notifier was reviewed by NICNAS. The accuracy of the information on the MSDS remains the responsibility of the applicant.

APPENDIX B: TOXICOLOGICAL INVESTIGATIONS**B.1. Acute toxicity – oral**

| | |
|-------------------|--|
| TEST SUBSTANCE | Promois EFLS-C (20% notified chemical in aqueous solution) |
| METHOD | OECD TG 423 Acute Oral Toxicity – Acute Toxic Class Method. |
| Species/Strain | Rat/Sprague-Dawley CD |
| Vehicle | None |
| Remarks - Method | 6 female rats were administered a single dose of 2000 mg/kg bw by oral gavage. |
| RESULTS | |
| LD50 | > 2000 mg/kg bw |
| Signs of Toxicity | There were no signs of systemic toxicity. |
| Effects in Organs | No abnormalities were noted at necropsy. |
| Remarks - Results | There were no deaths and all animals showed expected bodyweight gains during the study period. |
| CONCLUSION | The test substance is of low toxicity via the oral route. |
| TEST FACILITY | SafePharm Laboratories (2004a) |

B.2. Irritation – skin

| | | | | | | | |
|--------------------|---|--------------------|------|------|----------------|------------------------|------------------------------|
| TEST SUBSTANCE | Promois EFLS-C (20% notified chemical in aqueous solution) | | | | | | |
| METHOD | OECD TG 404 Acute Dermal Irritation/Corrosion. EC Directive 92/69/EEC B.4 Acute Toxicity (Skin Irritation). EC Directive 2004/73/EC B.4 Acute Toxicity (Skin Irritation). | | | | | | |
| Species/Strain | Rabbit/New Zealand White | | | | | | |
| Number of Animals | 3 | | | | | | |
| Vehicle | None | | | | | | |
| Observation Period | 14 days | | | | | | |
| Type of Dressing | Semi-occlusive. | | | | | | |
| Remarks - Method | The pH of the undiluted test material was determined to be 7.2 | | | | | | |
| RESULTS | | | | | | | |
| | <i>Lesion</i> | <i>Mean Score*</i> | | | <i>Maximum</i> | <i>Maximum</i> | <i>Maximum Value at End</i> |
| | | <i>Animal No.</i> | | | <i>Value</i> | <i>Duration of Any</i> | <i>of Observation Period</i> |
| | | 1 | 2 | 3 | | <i>Effect</i> | |
| | <i>Erythema/Eschar</i> | 1.33 | 0.67 | 1.67 | 2 | < 14 days | 0 |
| | <i>Oedema</i> | 0.67 | 0.67 | 1 | 1 | < 7 days | 0 |

*Calculated on the basis of the scores at 24, 48, and 72 hours for EACH animal.

| | |
|-------------------|---|
| Remarks - Results | Slight to well-defined erythema was observed at all treated sites one hour after patch removal and at the 24 and 48-hour observation. Slight erythema was noted in two animals at 72 hours and in one animal at 7 days. Crust formation was evident in one skin site and moderate desquamation was noted at a separate skin site at 7 days. Slight oedema was noted at all treated sites one hour after patch removal, at the 24 and 48-hour observation and persisted in one animal at 72 hours. |
| CONCLUSION | The test substance is slightly irritating to the skin. |
| TEST FACILITY | Safepharm Laboratories (2004b) |

B.3. Skin irritation – human volunteers

| | |
|-----------------------------|---|
| TEST SUBSTANCE | Promois EFLS-C (diluted to 6% notified chemical in aqueous solution) |
| METHOD | Epicutaneous 24-hour Patch Test (similar to epicutaneous plaster test method, COLIPA Standard Ref. 16) |
| Study Group | 20 volunteers (2 males and 18 females) aged 18-60 years |
| Vehicle | None |
| Type of Dressing | Occlusive |
| Negative Control Substances | Distilled water, white petrolatum and normal saline. |
| Remarks - Method | Four test substances (notified chemical plus 3 negative controls) weighing ~ 30 mg each, were applied by means of epicutaneous plaster (using Finn chambers and Scanpor tape) for a period of 24 hours. Cutaneous examinations were performed at 30-60 minutes, 24 hours and 48 hours after removal of patches. |

Cutaneous reactions were evaluated for each volunteer according to the following scale:

| Examination | Cutaneous Reaction | Score |
|-------------|----------------------------------|-------|
| - | no reaction | 0 |
| ± | slight erythema | 0.5 |
| + | clear erythema | 1.0 |
| ++ | erythema+edema, papulae | 2.0 |
| +++ | erythema+edema · papulae+vesicle | 3.0 |
| ++++ | bullous reaction | 4.0 |

| | | |
|---------------------------------------|----------------------|-------|
| Sum of the scores at each times point | | |
| Cutaneous Irritation index = | _____ | × 100 |
| | Number of volunteers | |

Index of cutaneous irritation and classification:

| Cutaneous Irritation index | Classification (1985) | Classification (1995) |
|----------------------------|-----------------------|-----------------------|
| < 5.0 | safe | safe |
| 5.0 - 15.0 | safe | acceptable |
| 15.0 - 30.0 | acceptable | requires improvement |
| 30.0 - 60.0 | requires improvement | unsafe |
| > 60.0 | unsafe | unsafe |

RESULTS

| Type of test article | Promois EFLS-C (Active 6% water solution) | | Distilled water for injection | | White petrolatum | | Normal saline | |
|----------------------------|---|---------|-------------------------------|---------|------------------|---------|---------------|---------|
| | 24 hrs. | 48 hrs. | 24 hrs. | 24 hrs. | 48 hrs. | 48 hrs. | 24 hrs. | 48 hrs. |
| - | 16/20 | 19/20 | 20/20 | 20/20 | 20/20 | 20/20 | 20/20 | 20/20 |
| ± | 4/20 | 1/20 | 0/20 | 0/20 | 0/20 | 0/20 | 0/20 | 0/20 |
| + | 0/20 | 0/20 | 0/20 | 0/20 | 0/20 | 0/20 | 0/20 | 0/20 |
| ++ | 0/20 | 0/20 | 0/20 | 0/20 | 0/20 | 0/20 | 0/20 | 0/20 |
| Cutaneous Irritation index | 10.0 | 2.5 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |

REMARKS - RESULTS

According to the classification scheme used in this test the test substance is considered to be 'acceptable'.

CONCLUSION

A single-application human patch test was conducted using the product Promois EFLS-C (diluted to 6% notified chemical in aqueous solution) under occlusive dressing. The test substance was slightly irritating under the conditions of the test.

TEST FACILITY

Dermis Research Centre (2004)

B.4. Irritation – eye

TEST SUBSTANCE Promois EFLS-C 20% notified chemical diluted to 1.25% (i.e. 0.25% notified chemical)

METHOD Hen's Egg Test – Utilising the Chorioallantoic Membrane (HET-CAM). Modification of that described by Kemper and Luepke (1986).

Species/Strain White Leghorn chicken eggs

Number of Animals 4 for each test substance

Observation Period Readings taken at 0.5, 2 and 5 mins after exposure

Method After 10 days of incubation at 37.2°C in a Kuhl incubator, the shell covering the air sack of each egg was removed. Forceps were then used to remove the shell down to the shell-membrane junction. The inner egg membrane was hydrated with warm, physiological saline for 2-5 minutes. Subsequently, the inner egg membrane was removed to reveal the CAM. A 0.3 ml test solution was added to each CAM for a period of 20 secs. The test or control solution was rinsed from each CAM with 5 ml of physiological saline. Effects of hyperaemia, haemorrhage (including minimal haemorrhage) and coagulation were observed over a period of 5 mins and scored according to the maximum scores shown in the following table.

| <i>Effect</i> | <i>Scores at time (min):</i> | | |
|------------------------------------|------------------------------|----------|----------|
| | <i>0.5</i> | <i>2</i> | <i>5</i> |
| Hyperaemia | 5 | 3 | 1 |
| Minimal Haemorrhage ("Feathering") | 7 | 5 | 3 |
| Haemorrhage (Obvious leakage) | 9 | 7 | 5 |
| Coagulation and/or Thrombosis | 11 | 9 | 7 |

Each reaction type can be recorded only once for each CAM, therefore the maximum score per CAM is 32. The mean score was determined for all CAM's similarly tested.

Each test substance was classified according to the following:

| <i>Mean Score</i> | <i>Irritation Potential</i> |
|-------------------|-----------------------------|
| 0.0-4.9 | Practically none |
| 5.0-9.9 | Slight |
| 10.0-14.9 | Moderate |
| 15.0-32.0 | Severe |

Remarks - Method

No details of test substance preparation were included. Johnson's Baby Shampoo (50%) and Prell Shampoo Concentrate (50%) were the reference articles included in the study. Johnson's Baby Shampoo has historically been categorised as being moderately irritating and Prell has previously been categorised as being severely irritating according to the scoring system above.

The study authors state that previous studies have shown that the CAM of the hen's egg is more sensitive to liquid irritants than the rabbit eye, therefore Johnson's Baby Shampoo and the Prell Shampoo concentrate were diluted and tested at 50% concentration to equate to the Draize results for those substances at 100% concentration. The study authors state that the sponsor requested the irritation potential of Promois EFLS-C at 2.5% (this equates to 0.5% notified chemical). Due to it being a liquid irritant a dilution of Promois EFLS-C to 1.25% was used in the actual test.

The Draft Updated ICCVAM Recommended HET-CAM Test Method Protocol (available online [20 July 2009]):

<http://iccvam.niehs.nih.gov/methods/ocutox/mildmod/HET-CAMProtocol11May09FD.pdf>) recommends testing solutions undiluted unless dilution is justified.

RESULTS

| <i>Test Solution</i> | <i>Average Irritation score</i> |
|---------------------------------|---------------------------------|
| Promois EFLS-C (1.25%) | 7.75 |
| Johnson's Baby Shampoo (50 %) | 11.00 |
| Prell Shampoo Concentrate (50%) | 24.25 |

Remarks - Results At 30 seconds after exposure, one CAM showed hyperaemia and another CAM showed hyperaemia and minimal haemorrhage. At the 2-min reading, hyperaemia was observed in two CAMs and minimal haemorrhage was present in one CAM. At the 5-minute reading, three CAMs appeared normal and one CAM continued to show hyperaemia.

CONCLUSION Under the conditions of this test, the test substance is predicted to be slightly irritating to the eye at a concentration of 2.5% (0.5% notified chemical).

TEST FACILITY Consumer Product Testing (2004)

B.5. Skin sensitisation – mouse local lymph node assay (LLNA)

TEST SUBSTANCE Promois EFLS-C (20% notified chemical in aqueous solution)

METHOD OECD TG 429 Skin Sensitisation: Local Lymph Node Assay

Species/Strain Mouse/CBA/Ca (CBA/CaBkl) Female

Vehicle Butanone

Remarks - Method A preliminary screening test was conducted on one mouse with 25 µl of undiluted test substance applied to the dorsal surface of each ear for three consecutive days. No signs of systemic toxicity were noted. Based on this 25, 50 and 100% were selected as dose levels for the main test. The result of a positive control study with α-Hexylcinnamaldehyde in acetone/olive oil (4:1) which was conducted prior to the main study has been included.

RESULTS

| <i>Concentration</i> (% w/w) | <i>Proliferative response</i> (DPM/lymph node) | <i>Stimulation Index</i> (Test/Control Ratio) |
|---------------------------------|---|--|
| <i>Test Substance</i> | | |
| 0 (vehicle control) | 447.74 | |
| 25 | 646.76 | 1.44 |
| 50 | 796.12 | 1.78 |
| 100 | 1166.66 | 2.61 |
| <i>Positive Control (% v/v)</i> | | |
| 5 | - | 1.741 |
| 10 | - | 2.20 |
| 25 | - | 8.89 |

Remarks - Results There were no deaths and no signs of systemic toxicity were noted in the test or control animals. Body weight changes of the test animals were comparable to those seen in the control animals. A stimulation index of less than 3 was observed for all concentrations of the test material.

CONCLUSION There was no evidence of induction of a lymphocyte proliferative response indicative of skin sensitisation to up to 100% test substance (20% notified chemical).

TEST FACILITY Safepharm (2004c)

B.6. Genotoxicity – bacteria

| | |
|----------------------------------|---|
| TEST SUBSTANCE | Promois EFLS-C (20% notified chemical in aqueous solution) |
| METHOD | OECD TG 471 Bacterial Reverse Mutation Test. EC Directive 2000/32/EC B.13/14 Mutagenicity – Reverse Mutation Test using Bacteria. Plate incorporation procedure |
| Species/Strain | <i>S. typhimurium</i> : TA1535, TA1537, TA98, TA100 <i>E. coli</i> : WP2uvrA ⁻ |
| Metabolic Activation System | Phenobarbitone/ β -naphthoflavone-induced rat liver S9 preparation |
| Concentration Range in Main Test | a) With metabolic activation: 50-5000 μ g/plate b) Without metabolic activation: 50-5000 μ g/plate |
| Vehicle | Sterile distilled water |
| Remarks - Method | No significant protocol deviations |

RESULTS

| <i>Metabolic Activation</i> | <i>Test Substance Concentration (μg/plate) Resulting in:</i> | | | |
|-----------------------------|---|----------------------------------|----------------------|-------------------------|
| | <i>Cytotoxicity in Preliminary Test</i> | <i>Cytotoxicity in Main Test</i> | <i>Precipitation</i> | <i>Genotoxic Effect</i> |
| <i>Absent</i> | | | | |
| Test 1 | > 5000 | > 5000 | > 5000 | Negative |
| <i>Present</i> | | | | |
| Test 1 | >5000 | > 5000 | > 5000 | Negative |

| | |
|-------------------|---|
| Remarks - Results | No significant increases in the frequency of revertant colonies were recorded for any bacterial strain at any dose level either with or without metabolic activation. All positive controls induced expected increases in revertant colony frequency. |
| CONCLUSION | The test substance was not mutagenic to bacteria under the conditions of the test. |
| TEST FACILITY | SafePharm Laboratories (2004d) |

APPENDIX C: ENVIRONMENTAL FATE AND ECOTOXICOLOGICAL INVESTIGATIONS**C.1. Environmental Fate****C.1.1. Ready biodegradability**

| | |
|-----------------------|--|
| TEST SUBSTANCE | Sodium cocoyl apple amino acids (acceptable analogue) |
| METHOD | OECD TG 301 B Ready Biodegradability: CO ₂ Evolution Test. |
| Inoculum | Activated sludge from the aeration pool of an STP receiving predominantly domestic sewage. |
| Exposure Period | 29 d |
| Auxiliary Solvent | None reported |
| Analytical Monitoring | Elemental analysis, CO ₂ measurement made by IC measurement using a TOC 5050A (Fa, Shimadzu). |
| Remarks - Method | No significant protocol deviations were reported. |

RESULTS

| <i>Test substance</i> | | <i>Aniline</i> | |
|-----------------------|----------------------|----------------|----------------------|
| <i>Day</i> | <i>% Degradation</i> | <i>Day</i> | <i>% Degradation</i> |
| 2 | 21.6 | 2 | -0.3 |
| 5 | 48.7 | 5 | 40.5 |
| 7 | 62.2 | 7 | 62.05 |
| 9 | 71.2 | 9 | 72.75 |
| 13 | 79.2 | 13 | 78.6 |
| 20 | 88.1 | 20 | 82.45 |
| 28 | 94.5 | 28 | 85.05 |
| 29 | 93.2 | 29 | 83.25 |

Remarks - Results

The notified chemical met the 10 d window criterion for ready biodegradability. A significant quantity of CO₂ was emitted from a poisoned flask containing the test substance, which served as an abiotic control. Although this was interpreted by the study authors as evidence of abiotic degradation of the test substance, no rationalisation for the degradation of this surfactant to CO₂ under nominally sterile conditions was presented. All test validity criteria were satisfied.

CONCLUSION

The test substance is considered ready biodegradable.

TEST FACILITY

LAUS GmbH (2004)

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